

LEGAL & GENERAL EMPLOYEE PENSION PLAN.

APPLICATION FORM FOR A SHARE MATURITY CONTRIBUTION FOR NEW AND EXISTING MEMBERS

BEFORE YOU BEGIN

Please complete this application form if you wish to join the Legal & General WorkSave Pension Plan by contributing shares, which are vesting through a share scheme maturity.

Your employer refers to this plan as the LEGAL & GENERAL EMPLOYEE PENSION PLAN

You can also use this form if you are already a member of the LEGAL & GENERAL EMPLOYEE PENSION PLAN and now wish to contribute shares which are vesting through a share maturity scheme.

Please ensure you have read the following documents before you decide to complete and submit your application, as they contain important information:

- The Share Contribution Key Facts
- The Key Features documentation of the LEGAL & GENERAL EMPLOYEE PENSION PLAN
- The LEGAL & GENERAL EMPLOYEE PENSION PLAN Fees and Charges document
- The information we provide about your investment options. You will find this at www.legalandgeneral.com/investwpp3

If you have any queries on any of the information provided, please contact Legal & General on 0345 674 0766. Call charges will vary. We may record and monitor calls.

You should obtain financial advice if you have any doubts as to whether the Legal & General WorkSave Pension Plan or paying a share maturity contribution is suitable for you.

If you choose to apply, please submit your application form at the same time as your exercise / release request

Please make sure that you answer all of the questions and return your application form to:

Employer Savings Team
Legal & General (Portfolio Management Services) Limited
Legal & General House
Kingswood
Surrey KT20 6EU

In addition to you completing this application form, you will also need to complete your exercise instruction with Capita.

If you do not complete the exercise instruction with Capita, this will delay your share contribution.

IF YOU ARE A NEW JOINER

Your right to cancel

After we've accepted your application to join the LEGAL & GENERAL EMPLOYEE PENSION PLAN with a share maturity contribution, we'll send you a notice of your right to cancel. By law we must send you this notice. You'll then have 30 days to change your mind about starting the plan.

If you do change your mind, all you need to do is send the notice back to us at the address shown on the cancellation notice and we'll cancel your plan. We'll sell your share allocation and return the value of your shares at the time we receive your cancellation minus any fees applicable. This means that you may not receive the value of your original net investment.

If you don't take this opportunity to cancel, you won't be able to do so at a later stage. You won't be able to get any money back until you access your pension pot, which you can do from age 55.

If you're making an additional contribution by share maturity to your existing LEGAL & GENERAL EMPLOYEE PENSION PLAN, you'll be unable to cancel the contribution once the shares have been received and applied to your plan.

IF YOU ARE AN EXISTING MEMBER

Your right to cancel

If you're an existing member of the LEGAL & GENERAL EMPLOYEE PENSION PLAN and are now making a share maturity contribution, you have the right to cancel this contribution within a 30 day cancellation period. If you do decide to cancel within this period, more details can be found in the 'Your right to cancel' section of your Key Features documentation. We'll sell your share allocation and return the value of your shares at the time we receive your cancellation minus any fees applicable. This means that you may not receive the value of your original net investment.

TERMS EXPLAINED

Registered Pension Scheme

This means a scheme, which is registered under Chapter 2 of Part 4 of the Finance Act 2004.

Relevant UK earnings

This means employment income, or income arising from a trade, profession, vocation or patent (held in an individual's name).

1.1 Full name:

Mr/Mrs/Miss/Ms/other

Surname

First names

1.2 National Insurance number:

For example,
AB 123456 C

1.3 Date of birth:

Note: The minimum age at entry is 18.

1.4 If you have one, what is your existing WorkSave Pension Plan policy number?

This number can be found in your Annual Benefit Statement.

**If you are already a member of the LEGAL & GENERAL EMPLOYEE PENSION PLAN please now go to section 2 on the next page.**

1.5 Permanent residential address, postcode, phone number and email address:

Address

Postcode

Phone number

Email address

Please state your previous residential address if you have moved within the last three months.

Address

Postcode

1.6 Gender:

Male

Female

1.7 Current Marital status:
Please tick only ONE box.

Single

Married/registered
civil partnershipWidowed/surviving
registered civil partnerDivorced/dissolved
registered civil partnership1.8 Full name and address of your employer or firm.
It is very important that you answer this question.Name of employer
or firm

Address

Postcode

1.9 Occupation:

1.9.1 Annual income:

Please tick only ONE box.

£0 - £14,999

£15,000 - £29,999

£30,000 - £59,999

£60,000 - £99,999

£100,000 - £149,999

£150,000 +

To protect you and us from financial crime, we may need to confirm your identity from time to time. We may do this by using reference agencies to search sources of information about you (an identity search). This will not affect your credit rating. If this identity search fails, we may ask you for documents to confirm your identity.

The LEGAL & GENERAL shares that you contribute to the LEGAL & GENERAL Employee Pension Plan will be held in the Self Invested arrangement. The minimum contribution is £500 or equivalent number of shares for existing members and £2,000 or equivalent number of shares for new members.

2.1 Please indicate the number of maturing shares that you would like to invest in your LEGAL & GENERAL Employee Pension Plan

Note: Basic rate tax relief (currently 20%) based on the value of these shares will be paid into your Member's SIPP bank account. Charges are deducted from this account and you will need to instruct Legal & General where to invest the balance.

2.2 Share scheme vesting date:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

SELECTED RETIREMENT AGE

3.1 At what age do you want to take your pension benefits?

Y	Y	Years	M	M	Months
---	---	-------	---	---	--------

Note: This is your Selected Retirement Age. This can be any time from age 55.

DEATH BENEFIT

What happens if I die before my Selected Retirement Age?

The way benefits can be paid if you die before you take your benefits will depend on a number of factors. The position is explained more fully in the Member's booklet, which you will receive when you join and is also available on request.

You have the choice of setting up a trust, nominating a beneficiary or doing nothing. A trust or nomination can only apply to benefits paid as a lump sum.

You can make a nomination by completing the 'Nomination of beneficiary' form, which you will receive as part of your welcome pack when you join. Legal & General has the discretion to pay any lump sum death benefit to either the person(s) nominated and/or your estate.

If you would like to place benefits in trust, please write to us and send a copy of the original trust documentation, which will be returned to you.

This agreement is between Legal & General (Portfolio Management Services) Limited, a company registered in England under Company Number 2457525, registered office at: One Coleman Street, London EC2R 5AA termed "Legal & General" in this agreement, and me. This agreement sets out the terms of my membership of the WorkSave Pension Plan (the Scheme). This Scheme is referred to by my employer as the LEGAL & GENERAL EMPLOYEE PENSION PLAN.

I hereby agree to transfer (free of all encumbrances) the ordinary shares in LEGAL & GENERAL as detailed in this application, in accordance with the "best execution" rules of the Financial Conduct Authority and subject to market conditions.

I hereby agree that I will not require:

- The withdrawal of any funds held in respect of me; or
- The payment to me of any income from those funds other than for the payment of benefits to or in respect of me in accordance with and at the time provided by the rules of the Scheme.

By submitting this application, I agree to comply with the terms and conditions of the Scheme.

The Scheme administrator agrees to administer the Scheme in accordance with the Scheme rules.

I confirm that:

- In this tax year I am a Resident in the UK or am a Crown servant or the husband, wife or registered civil partner of a Crown servant.
- The total gross contributions which I pay to all Registered Pension Schemes in a tax year to which I am entitled to tax relief (under Section 188 of the Finance Act 2004) will not exceed the higher of the basic amount (currently £3,600) or my Relevant UK earnings in that year.
- The information I have given and declarations I have made in this application are, to the best of my knowledge and belief, correct and complete. I also agree this will form the basis of my application.
- I agree to comply with the rules of the Scheme.
- I agree to tell you in writing if an event occurs which results in my no longer being eligible for tax relief on my contributions by 5 April in the tax year in which the event occurs, or if later, within 30 days of the event.

If my employer or I are making contributions to my arrangements under the Scheme, some of the details in this application will be the basis on which tax relief is allowed. I understand that it is a serious offence to make false statements. The penalties are severe and HM Revenue & Customs could prosecute me.

Until I notify Legal & General in writing to the contrary:

I authorise Legal & General to provide my employer with details of my plan from time to time including details of the number of LEGAL & GENERAL shares and any transactions in LEGAL & GENERAL shares held in the LEGAL & GENERAL EMPLOYEE PENSION PLAN.

Important please read: Data Protection

Use of personal information:

Legal & General takes customer privacy very seriously. Legal & General uses the personal information collected via this form and any other information that I provide to Legal & General ("my information") for the purposes of:

- Providing me with Legal & General products and services and dealing with my enquiries and requests;
- Underwriting and administering my policy including processing claims;
- Carrying out market research, statistical analysis and customer profiling; and
- Sending me marketing information (by post, telephone, email and SMS) about products and services of companies in the Legal & General Group and of third parties whose products and services Legal & General offers to its customers.

By submitting this form, I agree to receive the information as described in 4 above, unless I indicate otherwise by ticking this box.

Given the global nature of Legal & General's business, it may be necessary to transfer my information to countries outside the European Economic Area in order to provide Legal & General's services to me.

Disclosures:

I understand that:

Legal & General will disclose my information to other companies within the Legal & General group of companies, regulatory bodies, law enforcement agencies, future owners of Legal & General's business, suppliers engaged by Legal & General to process data on its behalf and when necessary, to a reinsurer.

If I make a claim, Legal & General will share my information (where necessary) with other insurance companies to prevent fraudulent claims.

Legal & General will check my details with fraud prevention agencies. If false or inaccurate information is provided and fraud is identified details will be passed to fraud prevention agencies. Law enforcement agencies may access and use this information. Legal & General and other organisations may also access and use this information to prevent fraud and money laundering, for example, when:

- Checking details on applications for credit and credit related or other facilities
- Managing credit and credit related accounts or facilities
- Recovering debt
- Checking details on proposals and claims for all types of insurance
- Checking details of job applicants and employees.

Legal & General and other organisations may access and use from other countries the information recorded by fraud prevention agencies. I can contact Legal & General at Group Financial Crime, Legal & General House, Kingswood, Tadworth, Surrey KT20 6EU if I want to receive details of the relevant fraud prevention agencies.

If I have been dealing with a financial adviser, Legal & General will give them information about the product and, where appropriate, provide them with other information about my dealings with Legal & General to enable them to give me informed advice.

Until I notify Legal & General in writing to the contrary, I authorise my employer to:

- appoint a professional adviser to act on my behalf in connection with my pension, and I authorise Legal & General to provide both my employer and their adviser with details of my plan from time to time. I realise that if I withdraw this authority then I may cease to participate in my employer's group pension arrangement and may lose certain benefits associated with that arrangement, and
- to notify Legal & General in writing of any changes in the amount of my contributions and I request and authorise Legal & General to act upon such information.

Access:

I have the right to ask for a copy of my information in return for payment of a small fee. To obtain a copy of my information, I can write to Legal & General at the address shown on our most recent customer correspondence.

I agree to the use of information as set out above. I understand that I can ask Legal & General for a copy of my filled in application form for up to 30 days after it has been set up on their records and that they will send me a letter confirming the details that have been captured from this form. I understand that I can ask Legal & General for a copy of the terms and conditions of this contract at any time.

I agree to be bound by the terms of membership as set out in the Declaration and Member's Agreement

**Applicant's
Signature**

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Please note that the application form cannot be accepted unless it is both signed and dated.

Legal & General (Portfolio Management Services) Limited

Registered in England and Wales No. 2457525

Registered office: One Coleman Street, London EC2R 5AA

We are authorised and regulated by the Financial Conduct Authority.

Q21981 06/15 Ho156611



www.legalandgeneral.com/workplacebenefits